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\$IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/784,284
Publication No.: 2001/0039454
Applicant : Ricci, et al.
Filed : February 16, 2001
TC/A.U. : 3738
Examiner : Blanco
Docket No. : 1065.26B
Customer No.: 27353

For: Orthopedic Implants Having Ordered Microgeometric Surface Patterns.

REQUEST FOR CORRECTION OF INVENTORSHIP IN A PATENT APPLICATION
UNDER 35 U.S.C. 116

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request for correction of inventorship in the above-referenced patent application and submit the following documents as required by 37 C.F.R. §1.48(a):

1. Statement of Harriet Naiman pursuant to 35 U.S.C. 116.
2. Statement of Charles Naiman pursuant to 35 U.S.C. 116.
3. Statement of Biolog International, Inc pursuant to 35 U.S.C. 116.
4. Statement under 37 C.F.R. 3.73(b).
5. Declaration by the actual inventors pursuant to 35 U.S.C. 116.

~~02/09/2007 WASFAW1 00000098 502557 The processing fee set forth in §1.17 (i) of \$130.00.~~

~~01 FC:1464 130.00 DA~~

CERTIFICATE OF MAILING BY EXPRESS MAIL

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LIZ DANA

Typed or printed name of person signing this certificate

Signature

Liz Dana

Date Feb. 5, 2007


02/09/2007 WASFAW1 00000098 09/784284

01 FC:1464 130.00 DA

The Commissioner is hereby authorized to charge above-referenced fee to Deposit Account 502,557 and any additional fee required or credit for any excess fee paid.

Date: 2/5/2007

Respectfully submitted,



Yi Li
Reg. No. 44,211



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Docket No. : 1065.26B
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For: Orthopedic Implants Having Ordered Microgeometric Surface Patterns.

STATEMENT PURSUANT TO 35 U.S.C. 116

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Harriet Naiman, state that the inventorship error of including Harriet Naiman as an inventor and failing to include Charles Naiman (deceased) as an inventor of the above-referenced application occurred without any deceptive intention on the part of Harriet Naiman.

Harriet Naiman

Date:

10/21/2006

By: Mark A. Niven, Power of Attorney for
Harriet Naiman

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LIZ DANA

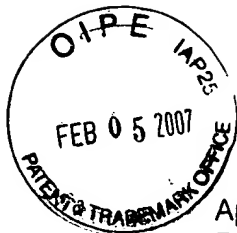
Typed or printed name of person signing this certificate

Signature

Liz Dana

Date

FEB. 5, 2007



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/605,142
Patent No : 6,454,569
Applicant : Hollander, et al.
Filed : June 26, 2000
TC/A.U. : 3732
Examiner : O'Connor
Docket No. : 1065.29
Customer No.: 27353

For: Dental Implant with Dual Bio-Affinity Collar

STATEMENT UNDER 37 C.F.R. 1.324(b)(3)

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

BioLok International, Inc. agrees to the change of inventorship of U.S. Patent No. 6,454,569. The change entails an addition of John Ricci, Harold Alexander, and Charles Naiman as inventors of this patent.

BioLok International, Inc. is the assignee of this patent and submits herein, the statement under C.F.R. 3.73(b) in establishing ownership to this patent.

Date: 10/31/06

By: [Signature]
Ingo Kozak
Secretary of BioLok International, Inc.

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Liz DANA

Typed or printed name of person signing this certificate

Signature [Signature]

Date FEB. 5, 2007



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Ricci, et al.Application No./Patent No./Control No.: 09/784,284 Filed/Issue Date: February 16, 2001Entitled: Orthopedic Implants Having Ordered Microgeometric Surface PatternsBioLok International, Inc., a corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018169, Frame 0951, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature
Ingo Kozak10/31/06
Date

Printed or Typed Name

Telephone Number

Secretary of BioLok International, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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For: Orthopedic Implants Having Ordered Microgeometric Surface Patterns.

STATEMENT PURSUANT TO 35 U.S.C. 116

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Charles Naiman, state that the inventorship error of including Harriet Naiman as an inventor and failing to include Charles Naiman (deceased) as an inventor of the above-referenced application occurred without any deceptive intention on the part of Charles Naiman.

Charles Naiman, deceased
Harriet Naiman, Executrix

Date: 10/21/2006

 P/A

By: Mark A. Niven, Power of Attorney for
Harriet Naiman

CERTIFICATE OF MAILING BY EXPRESS MAIL

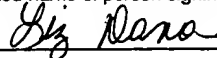
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LIZ DANA

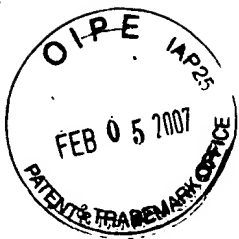
Typed or printed name of person signing this certificate

Signature



Date

FEB. 5, 2007



Attorney Docket: 1065.26B

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Orthopedic Implants Having Ordered Microgeometric Surface Patterns

the specification of which

 X is attached hereto.
was filed on February 16, 2001 as Application Serial No. 09/784,284 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____	_____	_____	____ Yes	____ No
(Number)	(Country)	(Day/Month/Year Filed)		

I hereby claim the benefit under Title 35, United States Code §120, or 119(e) of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/500,038	February 8, 2000	Patented
08/996,244	December 22, 1997	Abandoned
08/639,712	April 29, 1996	Abandoned
08/390,805	February 15, 1995	Abandoned
<u>08/146,790</u>	<u>November 2, 1993</u>	<u>Abandoned</u>
(Appln. Ser. No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Melvin K. Silverman, 26,234
Yi Li, Reg. No. 44,211

Address all correspondence to:

Customer Number 27353

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole
of first inventor: John Ricci

Inventor's Signature _____

Date: _____ Citizenship: US

Residence and
Post Office Address: 46 Verdun Place

Middleton, New Jersey 07748, United States

Full name of sole
of second inventor: Harold Alexander

Inventor's Signature _____

Date: _____ Citizenship: US

Residence and
Post Office Address: 47 Elmwood Place

Short Hills, NJ 07048, United States

Full name of sole
of third inventor: Charles Naiman (deceased)

Inventor's Signature _____
Harriet Naiman (executrix)
BY: Mark A. Niven, Power of Attorney for Harriet Naiman

Date: _____ Citizenship: US

Residence and
Post Office Address: Nachal Dolev 42/4
Ramat Beit Shemesh, Israel

Full name of sole
of fourth inventor: Bruce Hollander

Inventor's Signature _____
Date: 10/31/06 Citizenship: US

Residence and
Post Office Address: 10563 Boca Woods Lane
Boca Raton, FL33428, United States

Full name of sole
of fifth inventor: Ingo Kozak

Inventor's Signature _____
Date: 10/31/06 Citizenship: US

Residence and
Post Office Address: 4B Atrium Circle
Atlantis, Florida 33462, United States



Attorney Docket: 1065.26B

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Orthopedic Implants Having Ordered Microgeometric Surface Patterns

the specification of which

 X is attached hereto.
was filed on February 16, 2001 as Application Serial No. 09/784,284 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Prior Foreign Application(s)

Priority Claimed

<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<u> </u> Yes <u> </u> No
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I hereby claim the benefit under Title 35, United States Code §120, or 119(e) of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT International filing date of this application:

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Melvin K. Silverman, 26,234
Yi Li, Reg. No. 44,211

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Full name of sole
of first inventor: John Ricci

Inventor's Signature *John Ricci*

Date: Oct 18, 2006 Citizenship: US

Residence and
Post Office Address: 46 Verdun Place

Middleton, New Jersey 07748, United States

Full name of sole
of second inventor: Harold Alexander

Inventor's Signature *Harold Alexander*

Date: October 18, 2006 Citizenship: US

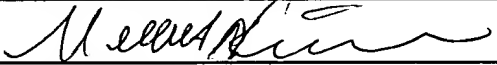
Residence and
Post Office Address: 47 Elmwood Place

Short Hills, NJ 07048, United States

Full name of sole
of third inventor:

Charles Naiman (deceased)

Inventor's Signature

 P/A

Harriet Naiman (executrix)

BY: Mark A. Niven, Power of Attorney for Harriet Naiman

Date:

10/21/2006

Citizenship: US

Residence and

Post Office Address: Nachal Dolev 42/4

Ramat Beit Shemesh, Israel

Full name of sole

of fourth inventor: Bruce Hollander

Inventor's Signature

Date:

Citizenship: US

Residence and

Post Office Address: 10563 Boca Woods Lane

Boca Raton, FL33428, United States

Full name of sole

of fifth inventor: Ingo Kozak

Inventor's Signature

Date:

Citizenship: US

Residence and

Post Office Address: 4B Atrium Circle

Atlantis, Florida 33462, United States